

Applicant or Patentee: Pantaleone MASCI et al.

Serial or Patent No.: 09/700,179

Attorney Docket No.: 65064/133

Filed or Issued: November 13, 2000

For: **PLASMIN INHIBITORS FROM THE AUSTRALIAN BROWN SNAKE PSEUDONAJA TEXTILIS TEXTILIS**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27 (c)) — NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: **THE UNIVERSITY OF QUEENSLAND**

ADDRESS OF ORGANIZATION: St. Lucia, Brisbane, Queensland, QLD 4072, Australia

TYPE OF ORGANIZATION:

(X) UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
() TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3))
() NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
() WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3) IF LOCATED IN THE UNITED STATES OF AMERICA
() WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) or (b) of Title 35, United States Code with regard to the invention entitled **PLASMIN INHIBITORS FROM THE AUSTRALIAN BROWN SNAKE *PSEUDONAJA TEXTILIS TEXTILIS*** by inventor(s) **Pantaleone Paul MASCI et al.** described in

() the specification filed herewith
(X) application serial no. 09/700,179, filed November 13, 2000
() patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: _____

ADDRESS:

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT CORPORATION

NAME: _____

ADDRESS:

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT CORPORATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate: (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: DOUGLAS PORTER
TITLE OF PERSON OTHER THAN OWNER: SECRETARY AND REGISTRAR
ADDRESS OF PERSON SIGNING: QUEENSLAND 4072
SIGNATURE: Douglas Porter DATE: 22/1/01

Applicant or Patentee: Pantaleone MASCI et al.Serial or Patent No.: 09/700,179Attorney Docket No.: 65064/133Filed or Issued: November 13, 2000For: PLASMIN INHIBITORS FROM THE AUSTRALIAN BROWN SNAKE PSEUDONAJA TEXTILIS TEXTILIS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27 (c)) — SMALL BUSINESS CONCERN

I hereby declare that I am

the owner of the small business concern identified below:
 an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: NATIONAL INSTITUTE OF BIOLOGICAL STANDARDS AND CONTROLADDRESS OF CONCERN: Blanche Lane, South Mimms, Potters Bar, Hertfordshire, EN6 3QG United Kingdom

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled PLASMIN INHIBITORS FROM THE AUSTRALIAN BROWN SNAKE PSEUDONAJA TEXTILIS TEXTILIS by inventor(s) Pantaleone Paul MASCI et al. described in

the specification filed herewith
 application serial no. 09/700,179, filed November 13, 2000
 patent no. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). * NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities: (37 CFR 1.27)

NAME: _____

ADDRESS: _____

 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT CORPORATION

NAME: _____

ADDRESS: _____

 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT CORPORATION

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: _____

RICHARD A. STEWART

TITLE OF PERSON OTHER THAN OWNER: _____

ASSISTANT DIRECTOR (ADMN), NIBSC + SECRETARY
TO NBSB

ADDRESS OF PERSON SIGNING: _____

NBSB/NIBSC, BLANCHE LANE, SOUTH MIMMS, POTTERS BAR,

HERTS EN6 3QG, U.K.

SIGNATURE: _____

DATE: 10 JULY 2001

Applicant or Patentee: Pantaleone Paul MASCI et al.Serial or Patent No.: 09/700,179Attorney Docket No.: 65064/133Filed or Issued: November 13, 2000For: PLASMIN INHIBITORS FROM THE AUSTRALIAN BROWN SNAKE PSEUDONAJA TEXTILIS TEXTILIS

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I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled PLASMIN INHIBITORS FROM THE AUSTRALIAN BROWN SNAKE PSEUDONAJA TEXTILIS TEXTILIS by inventor(s) Pantaleone Paul MASCI et al. described in

the specification filed herewith
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 patent no. _____, issued _____

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NAME: _____

ADDRESS: _____

 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT CORPORATION

NAME: _____

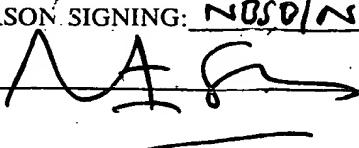
ADDRESS: _____

 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT CORPORATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate: (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: _____

RICHARD A. STEWARTTITLE OF PERSON OTHER THAN OWNER: ASSISTANT DIRECTOR, NIBSC & SECRETARY TO NBSBADDRESS OF PERSON SIGNING: NBS/NIHSC, BLANCHE LANE, SOUTH MIMMS, POTTERS BAR, HERTS EN6 3QG, UK.SIGNATURE: DATE: 10th July 2001

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PLASMIN INHIBITORS FROM THE AUSTRALIAN BROWN SNAKE***PSEUDONAJA TEXTILIS TEXTILIS***

(Attorney Docket No. 065064/0133)

the specification of which (check one)

is attached hereto.

was filed on May 7, 1999 as PCT International Application Number
PCT/AU99/00343

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?
PP3450 ✓	Australia ✓	May 11, 1998 ✓	Yes	No

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date

I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Application Number	Parent Filing Date	Parent Patent Number

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY & LARDNER:

22-
 STEPHEN A. BENT Reg. No. 29,768
 DAVID A. BLUMENTHAL Reg. No. 26,257
 BETH A. BURROUS Reg. No. 35,087
 ALAN I. CANTOR Reg. No. 28,163
 WILLIAM T. ELLIS Reg. No. 26,874
 JOHN J. FELDHAUS Reg. No. 28,822
 PATRICIA D. GRANADOS Reg. No. 33,683
 JOHN P. ISACSON Reg. No. 33,715
 MICHAEL D. KAMINSKI Reg. No. 32,904

LYLE K. KIMMS	Reg. No. 34,079
KENNETH E. KROSIN	Reg. No. 25,735
JOHNNY A. KUMAR	Reg. No. 34,649
GLENN LAW	Reg. No. 34,371
PETER G. MACK	Reg. No. 26,001
BRIAN J. MC NAMARA	Reg. No. 32,789
SYBIL MELOY	Reg. No. 22,749
RICHARD C. PEET	Reg. No. 35,792
GEORGE E. QUILLIN	Reg. No. 32,792
BERNHARD D. SAXE	Reg. No. 28,665
CHARLES F. SCHILL	Reg. No. 27,590
RICHARD L. SCHWAAB	Reg. No. 25,479
HAROLD C. WEGNER	Reg. No. 25,258

to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith.

I request that all correspondence be directed to:

John P. Isaacson
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5109

Telephone: (202) 672-5402
Facsimile: (202) 672-5399

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

100 Name of first inventor Pantaleone Paul.MASCI
Residence Coorparoo, Australia *AUX*
Citizenship Australia
Post Office Address 33 Wellington Street, Coorparoo, QLD 4151, Australia
Inventor's signature *P. Masci*
Date 9-5-01

2-00

Name of second inventor

Martin Francis LAVIN

Residence

Chapel Hill, Australiarex

Citizenship

Australia

Post Office Address

52 Marmindie Street, Chapel Hill, QLD 4069, Australia

Inventor's signature

Martin Lavin9/5/01

Date

3-00

Name of third inventor

Patrick Joseph GAFFNEY

Residence

Harpden, Hertfordshire, United KingdomGBX

Citizenship

United KingdomIRELAND

Post Office Address

27 Milton Road, Harpenden, Hertfordshire, AL5 5LAUnited KingdomPatrick Joseph4/6/01

Inventor's signature

Date